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# Current thinking on malignant salivary gland neoplasms

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**Abstract:** Malignant salivary gland neoplasms are rare, representing approximately 3% to 7% of all head and neck cancers. Contrasting from the more common mucosal head and neck cancers, which, in general, are ascribed to excessive tobacco, alcohol use, and more recently to viral infection, specific carcinogenic factors for malignant salivary gland growths have not been as clearly identified. Histologically, they represent a heterogeneous group of tumors. Forty histologic types of epithelial tumors of the salivary glands have been reported; some are exceedingly rare and may be the topic of only a few case reports. Salivary tumors can arise in the major salivary glands or in one of the minor salivary glands (predominantly mucus secreting glands), which are distributed throughout the upper aerodigestive. Most patients who develop malignant salivary gland tumors are in the sixth or seventh decade of life. FNA should be considered as part of the diagnostic evaluation but due to its varying sensitivities and specificities imaging modalities such as ultrasound, CT scans, and MRI should also be used as diagnostic adjuncts. Surgery is the primary modality for management of these tumors, nontraditional surgical approaches and instrumentation, as well as facial nerve monitoring, can be selectively utilized to try and decrease the morbidity associated with these surgical procedures. Adjuvant treatment is primarily achieved with radiation therapy. Chemotherapy continues to have a palliative role in the management of salivary gland tumors; however, research in this field is trying to identify a therapeutic role for chemotherapy in order to improve overall survival.

**Keywords:** Salivary Gland Tumors, Epidemiology of Salivary Gland Tumors, Types of Salivary Gland Tumors, Diagnosis and Treatment of Salivary Gland Tumors

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## 1. Introduction

Malignant salivary gland neoplasm's are relatively rare, representing approximately 3% to 7% of all head and neck cancers and accounting for less than 0.5% of all malignancies diagnosed yearly in the United States [1]. The annual incidence is approximately 2.5 to 3.0 cases per 100,000 individuals [1]. Contrasting from the more common mucosal head and neck cancers, which, in general, are ascribed to excessive tobacco, alcohol use, and more recently to viral infection (HPV), specific carcinogenic factors for malignant salivary gland growths have not been as clearly identified. Exposure to ionizing radiation [2-8] has been implicated as a cause of salivary gland cancer as well as certain occupational exposures, such as, rubber products manufacturing, asbestos mining, plumbing, and some types of woodworking [9, 10].

Salivary gland tumors can arise in the major salivary glands (parotid, submandibular, sublingual) or in one of the minor salivary glands (predominantly mucus secreting glands), which are distributed beneath the mucosa throughout the upper aerodigestive tract [11]. Most patients who develop malignant salivary gland tumors are in the sixth or seventh decade of life [12]. Approximately 80% to 85% of salivary gland tumors arise in the parotid glands and approximately 75% to 80% of these tumors are benign [13, 14]. Most of these benign tumors are pleomorphic adenomas (benign mixed tumors), representing 60% to 70% [1] of all parotid gland tumors, and Warthin's tumors (papillary cystadenoma lymphomatosum), representing 12% of all benign parotid tumors [13]. With regards to the other major salivary glands, approximately 40% to 50% of submandibular tumors are malignant and more than 90% of tumors arising in the sublingual glands are malignant [1, 14,

15]. The ratio of malignant neoplasm's found in the parotid, submandibular, and sublingual glands is estimated to be 40:10:1 respectively [13]. There are 600 to 1000 minor nests of salivary gland cells scattered throughout the upper aerodigestive tract and approximately 75% to 80% of tumors arising in these minor salivary glands are malignant [14, 16].

Histologically, salivary gland tumors represent the most heterogeneous group of tumors of any tissue in the body [17]. Approximately 40 histologic types of epithelial tumors of the salivary glands have been reported, some are exceedingly rare and may be the topic of only a few case reports [1]. The World Health Organization (WHO) in its 2005 classification of salivary gland tumors described 24 different histologic subtypes [18]. The most common malignant major salivary gland tumor is the mucoepidermoid carcinoma, which comprises about 2.8% to 15.5% of all salivary gland neoplasms and approximately 12% to 35% of malignant salivary gland neoplasms [1, 19]. For clinical purposes these tumors can be classified according to their malignant potential (propensity to spread) [20]. The most malignant group consists of high-grade mucoepidermoid carcinomas, malignant mixed tumors, adenocarcinomas, and squamous cell carcinomas [14, 20]. The tumors in this category frequently metastasize to regional lymph nodes as well as to distant sites through a hematogenous route. The intermediate group is made up by the slow growing adenoid cystic carcinoma. They are classified as intermediate tumors despite of their slow growth rate because they tend to invade cranial nerves and spread along them to the base of the brain, and they can also metastasize through hematogenous routes. Low-grade mucoepidermoid carcinomas and acinic cell carcinomas have limited malignant potential and have a better prognosis than high-grade tumors.

## 2. Pathogenesis

Presently there are two theories trying to elucidate the origin of malignant salivary gland tumors [8, 21]. The more accepted of the two theories is the Reserve Cell Theory [21]. The theory states that salivary gland neoplasms arise from a reserve (or stem) cell of the salivary duct system. The type of neoplasm that will develop will depend on the stage of differentiation of the reserve cell at the time at which the neoplastic transformation occurs (and on the type of reserve cell). The excretory duct reserve cells give rise to mucoepidermoid, squamous cell, and salivary duct carcinoma, while the intercalated duct reserve cells give rise to adenoid cystic and acinic cell carcinoma. The second and less accepted theory is the Multicellular Theory [8]. This theory states that salivary tumors arise from differentiated cells along the salivary gland unit. An example of this theory is that squamous cell carcinoma arises from the excretory duct epithelium and acinic cell carcinoma arises from the acinar cells.

## 3. Pathology of Malignant Salivary Gland Neoplasm

The WHO histologic classification of salivary gland malignancies divide these tumors in two broad categories: low grade and high grade tumors (Table 1) [20]. They represent a heterogeneous group of tumors with a diverse biologic and clinical behavior that have a unique natural history and pattern of spread. We will be discussing some of the most common variants individually in the following section.

### 3.1. Mucoepidermoid Carcinoma

Mucoepidermoid carcinoma (MEC) is the most common malignant neoplasm of the major and minor salivary glands. They encompass between 2.8% to 15.5% of all salivary gland tumors, among 12% to 35% of malignant salivary gland tumors, and among 6.5% to 41% of all minor salivary gland tumors, representing the most common type of malignant minor salivary gland tumor in most series [22-25]. Approximately half the cases occur in the major salivary glands, 65% to 80% of these occur in the parotid, 8% to 13% occur in the submandibular gland, and 2% to 4% involve the sublingual gland [22-24, 26, 27]. MEC of the minor salivary glands ordinarily arises on the palate, but a number may also be found in the retro molar area, floor of the mouth, buccal mucosa, lip, and tongue [28].

Its prevalence is highest in the fourth to fifth decade of life (35 to 65 years of age), with a female preponderance as high as 4:1 [13, 24]. Grossly, the tumor is poorly circumscribed and measures from 3 to 5 cm. Histologically they are characterized by a mixed population of cells, including, mucin-producing cells, epidermoid cells with squamoid differentiation, clear cells, and intermediate cells that may predominate in numbers and are believed to be the progenitor of the other types of cells. No myoepithelial cells are present [29].

The clinical behavior of MEC has proved to be difficult to predict but correlations to tumor grade and stage have been reported [30-33]. The histologic features that are most useful in predicting the aggressive nature of these tumors are: 1) a minor cystic component (less than 20%); 2) tumor necrosis; 3) neural invasion; 4) cellular anaplasia; and 5) brisk mitotic activity [29]. Based on the presence or absence of these features and the clinical behavior, MEC are classified as low, intermediate, and high grade.

Low-grade MEC are well circumscribed, with pushing margins and dilated cystic areas containing mucin. Mucin-producing, intermediate, or epidermoid cells make up the lining of these cystic structures. As the grade worsens, the tumors become more infiltrative, poorly circumscribed, cystic formations are lost, and nests of tumor become more solid and irregular with intermediate or epidermoid cells dominating [34].

High-grade MEC are characterized by the invasion of adjacent structures, atypical mitoses, necrosis, perineural

invasion, lymph node (40% to 50%), and distant metastases (33%). These high-grade lesions are differentiated from primary of metastatic squamous cell carcinoma by the presence of intracellular mucin [24]. Sebaceous and clear cell carcinomas are additional differential diagnosis to consider.

Histologic grade and tumor stage appear to have profound effects on survival [11, 22]. Aro *et al.* [35] found a statistically significant difference in disease free survival (DFS) by grade between low-grade MEC and intermediate / high-grade MEC ( $P = 0.001$ ).

### 3.2. Acinic Cell Carcinoma

Acinic cell carcinoma (AcCC) is the second most common malignant tumor involving the parotid gland [14], representing 15% of malignant parotid gland neoplasms. It accounts for approximately 5% to 17% of all salivary gland tumors and 10% to 17% of all malignant salivary gland tumors [28, 36-38]. The parotid gland is composed almost exclusively of serous type acini, and it is the most common site of AcCC (80% to 90% of cases); other reported sites are the palate (up to 15%), submandibular gland (4%), and sublingual gland (1%). AcCC occurs most often in the fifth decade of life and in women more often than in men (ratio 3:2) [28, 36]. It is generally a low-grade carcinoma with indolent behavior. Recurrences are usually delayed, sometimes after decades. In a series reported by Ellis *et al.* [28], 12% of tumors recurred and 8% metastasized (bone, lung, and brain). The regional lymph nodes were the most common site of metastases. Spafford *et al.* [39] reported cervical lymphadenopathy to be present in 10% to 15% of cases of AcCC and Spiro *et al.* [40] in a series of 67 cases with AcCC treated before 1968, identified five cases (7.5%) with cervical metastases at the time of initial treatment. It is interesting that this tumor is the most common bilateral malignant salivary gland neoplasm, although its bilateral presentation is not nearly as common as the bilateral presentations of benign tumors (Warthin's tumor and pleomorphic adenoma) [36].

AcCC are typically encased in a fibrous capsule, grossly resembling round circumscribed nodules with a tan surface. The cut surface is solid but may show cystic degeneration and hemorrhage. Histologically, there are five cell types [29]: 1) serous acinar cells (explaining the predilection for the parotid gland); 2) cells with clear cytoplasm; 3) intercalated ductal cell; 4) nonspecific glandular cell, and 5) vacuolated cell. The microscopic recognition of AcCC also requires a strong appreciation for its varied growth patterns. There are four histologic growth patterns: solid, microcystic, papillary, and follicular [13, 29, 41]. Caution must be taken not to misread the solid pattern as normal parotid parenchyma, the papillary-cystic pattern as cystic mucoepidermoid carcinoma, or the follicular pattern as metastatic thyroid carcinoma [29].

Serous acinar differentiation is developed most fully in the acinic cell. These cells have dark round nuclei and granular purplish cytoplasm. The diagnosis of AcCC may

be difficult to establish, especially when some other cell type dominates the histopathology picture. Some examples of this are the predominance of clear cells might cause confusion with mucoepidermoid carcinoma, clear cell adenocarcinoma, and metastatic renal cell carcinoma. In these circumstances, the diagnostic acinic cells can be identified using a periodic acid-Schiff (PAS) reagent (their cytoplasmic secretory granules are PAS positive and diastase resistant) [29, 42]. Overall survival has been crudely estimated to be about 84% [28, 38]. Survival at 5 years has been reported between 76% [43] to 90% [44, 45], but fell to 56% at 20 years, emphasizing the need for long-term follow-up [28, 44].

### 3.3. Adenoid Cystic Carcinoma

Adenoid cystic carcinoma (ACC) accounts for approximately 10% of all salivary gland neoplasms. This is the most common malignant disorder to arise in the submandibular, the sublingual, and the minor salivary glands [14]. More than two thirds (65%) of them arise from the minor salivary glands, most commonly located within the oral cavity (palate) followed by the nasal cavity and nasopharynx. They were considered the most common malignant salivary gland tumor to involve the palate, but they are now outnumbered at this site by polymorphous low-grade adenocarcinoma [28]. ACC arise more often in women than in men and tend to affect adults in their fifth through seventh decades of life, often presenting as an otherwise asymptomatic mass [29, 34].

Its natural history demonstrates a paradox. First, tumor growth is slow, but its clinical course is unyielding and progressive. Second, operative intervention is usually possible, but multiple local recurrences are the norm. Third, metastatic spread to regional lymph nodes is rare, but distant spread to the lungs and bones is common (40% to 50% of the cases). And fourth, 5-year survival rates are expectantly high, but 10 to 20-year survival rates are dismally low [29]. Tumor stage is considered the most reliable indicator of overall outcome [46], but some authors have questioned the importance of histologic sub-typing. There is a strong positive correlation between site of origin and prognosis. The more favorable outcome with major (relative to minor) salivary gland ACC is attributed to the earlier discovery of the neoplasm at these more accessible locations [47].

ACC is not encapsulated or partially encapsulated and infiltrates the surrounding tissue (the risk of local failure is approximately 50% with surgery alone) [16]. Histologically they have a basaloid epithelium clustered in nests in a hyaline stroma. ACC can be categorized into three growth patterns, cribriform, tubular, and solid patterns [48]. The most common histologic subtype is the cribriform type (44%), characterized by a "Swiss cheese" pattern of vacuolated areas. The prognosis for the cribriform subtype is intermediate. The tubular subtype (35%) carries the best prognosis and is characterized by cords and nests of malignant cells. The solid subtype (21%) has the worst

prognosis in terms of distant metastasis and long-term survival [49]. Solid sheets of adenoid malignant cells characterize this subtype.

A sole feature of ACC is the propensity for perineural invasion (50% to 70% of the cases) [14], even with early-stage tumors. It can spread centripetally through the skull base and peripherally along both named and unnamed nerves. For this reason, adjuvant radiation that includes the anatomic course of the regional named nerves is often recommended. Lymphatic spread is uncommon, and consequently neck dissection or wide-field radiation to regional lymphatics is rarely recommended. Skip metastasis are known to occur despite clear surgical margins [50]. This malignancy is graded according to Szanto et al. [49], cribriform or tubular (grade I), less than 30% solid (grade II), or greater than 30% solid (grade III). In patients treated by similar modalities, the cribriform and tubular variants of ACC demonstrated no difference in the rate of distant metastases and overall survival. The cribriform variant demonstrated a significantly worse prognosis in terms of local recurrence rate (up to 47%).

### **3.4. Carcinoma Ex Pleomorphic Adenoma / Mixed Malignant Tumor**

Carcinoma ex pleomorphic adenoma (CXPA) also known as mixed malignant tumor is defined as a carcinoma arising de novo from a primary or recurrent benign pleomorphic adenoma (PA) [51, 52]. Microscopically, there may be one small malignant growth within a PA, or the malignant lesion with destructive infiltrative growth pattern may replace the benign tumor. Nouraei et al. [52] and Zbaren et al. [53] observed that 25% of their 28 patients and 21% of their 24 patients, respectively, had a previously treated PA. In a series of 60 cases, Gnepp[51] noted that CXPA constitutes approximately 3.6% of all salivary gland neoplasm's, 6.2% of all mixed tumors, and 11.6% of all malignant salivary gland neoplasm's. CXPA predominantly affects the major salivary glands with a majority of cases noted in the parotid and submandibular glands. The cancer has been known to manifest in the minor salivary glands in the oral cavity, particularly the hard and soft palate [54-56]. It has a prevalence rate of 5.6 cases per 100,000 malignant neoplasm's and a yearly incidence rate of 0.17 tumors per one million persons [51]. This malignancy is found predominantly in the sixth to eighth decades of life and is slightly more common in females [56].

CXPA should not be considered as a distinct neoplastic entity. More accurately, it should be considered as three very different tumor types (CXPA, metastasizing mixed tumor, and carcinosarcoma)[29]. CXPA represents a PA that has undergone malignant transformation, pathologic documentation of a former or coexisting PA is mandatory to establish the diagnosis [29]. The carcinomatous element is recognized by cytologic atypia and invasive tumor growth outside the tumor capsule [29]. In most cases the malignant component is an adenocarcinoma that cannot be specified further. In these circumstances, behavior is predicted by

histologic grade [28]. The degree of infiltration also has a significant impact on prognosis. In one series [57], all patients died when their tumors extended more than eight millimeters beyond the tumor capsule, but none died with less significant invasion. In other instances the malignant component may take on the form of other well-recognized types of salivary gland malignancies (e.g., adenoid cystic carcinoma). In these cases, prognosis and treatment depend on the histologic type of the carcinoma [29].

Metastasizing mixed tumor represents an apparently harmless PA that has metastasized to regional lymph nodes or a distant site. This puzzling conduct cannot be predicted on the basis of any histologic features of the primary tumor. Both the primary tumor and metastasis are characterized by the absence of cytologic atypia [29]. Notwithstanding its harmless appearance, metastasizing mixed tumor has been associated with a mortality rate of 22% [58]. The time from initial treatment of the primary tumor to metastatic spread usually is long and interspersed by incidents of local tumor recurrence. Complete surgical removal of the primary tumor is probably the best way to prevent any future threat of spreading.

Carcinosarcoma is a rare and fatal neoplasm composed of both carcinomatous and sarcomatous elements. It is the only mixed tumor with a truly biphasic malignant nature [59]. The sarcomatous component usually takes the form of chondrosarcoma, but fibrosarcoma, osteosarcoma, and malignant fibrous histiocytomas also have been described.

Overall, the survival rate for CXPA is poorer than for most salivary gland malignancies and the survival rates differ from series to series. In older series, Gnepp[51] reported a 5-year survival range of 25% to 65%. Olsen et al. [60] noted a 5-year disease-specific survival rate of 37% in 73 patients with this tumor and Nouraei et al. [52] reported 44% survival rates in 28 patients with this cancer. On the other hand, Zbaren et al. [53] noted a higher survival rate of 75% in their series of 24 patients and Luers et al. [61] reported a survival rate of 60% in 22 patients with the malignancy. Loco-regional recurrence is considered to be a major prognostic factor for patients with CXPA. Olsen et al. [60] reported a local recurrence rate of 23% and regional recurrence in 18% of patients with CXPA.

### **3.5. Other Types of Salivary Gland Malignancies**

#### **3.5.1. Adenocarcinomas**

These are a minority of malignant salivary gland tumors. These are adenocarcinomas lacking any characteristic feature that helps in classifying them as other specific types of epithelial tumors of salivary origin. Thus, it is a diagnosis of exclusion. They fall broadly into two categories: 1) the occasional low-grade terminal duct/polymorphous adenocarcinoma (PLGA) arising from the intercalated ducts, which microscopically resemble lobular carcinoma of the breast [62] and are most commonly found in the palate, and 2) the high-grade salivary duct adenocarcinoma that arise from the major salivary glands and typically metastasize early and

demonstrate dismal prognosis [14].

Salivary duct adenocarcinoma is a term that was selected because of its resemblance to ductal carcinoma of the breast [72]. It is characterized by an aggressive behavior, early metastasis, local recurrence and significant mortality. Nearly 85% of the cases occur in the parotid gland followed by the submandibular gland [73]. Rarely is it observed in the hard palate. The tumor has a predilection for older men (male to female ratio 5.5 to 1) in the sixth to seventh decades of life [74, 75]. Cervical lymphadenopathy is detected in approximately 35% of the cases [73]. The clinical course is rapid and the prognosis is dismal [76]. Local aggressiveness in the form of extra-salivary extension has been frequently noted.

Most squamous cell carcinomas (SCC) in the salivary glands represent spread from skin primaries and usually occur in the parotid gland. In patients with SCC of the skin, the overall risk of metastasis to regional lymph nodes is reported to be 1% to 5% [81, 82]. Metastatic cancer to the parotid gland accounts for less than 10% of all malignancies found in this gland. Of these metastases to the parotid lymph nodes, 40% are SCC [83]. True SCC of the salivary gland is very rare, with a reported frequency of approximately 0.3% to 9.8% of all malignant parotid gland tumors [84, 85]. Debate exists as to whether or not true primary SCC of the salivary glands exists.

#### 4. Immunohistochemistry

Salivary gland malignancies show a wide range of forms and many of them share microscopic characteristics, a distinctive immunophenotype for a given salivary gland tumor would be of immense advantage, especially in situations where small biopsies are obtained. Numerous studies have been carried out that have mainly focused on the cytokeratins [87], S100 [88], actins [89], epithelial membrane antigen, vimentin [89], Ca-50 [90], and carcinoembryonic antigen [90]. Unfortunately, none of these markers has proved specific or consistent enough to be reliably used in a diagnostic context [1]. There is now some evidence to suggest that expression of the product of the c-kit proto-oncogene (CD117) [91-93] and glial fibrillary acidic protein (GFAP) [88, 94, 95] might help in distinguishing between the three most common salivary gland tumors which also share morphological features, namely pleomorphic adenoma, polymorphous adenocarcinoma and adenoid cystic carcinoma.

#### 5. Diagnostic Evaluation and Staging

One of the most important means to distinguish inflammatory from neoplastic lesions of the salivary glands is a well-performed history and physical examination [96, 97]. The typical presentation of a benign salivary gland

tumor is a painless slow-growing mass on the face (parotid gland), angle of the jaw (parotid tail, submandibular gland), or neck (submandibular gland) or a swelling at the floor of the mouth (sublingual gland) [14]. When small, malignant tumors of the mayor salivary glands are indistinguishable clinically from benign tumors. An abrupt increase in size may be suggestive of degeneration into a malignant malignancy. Other hints of malignant degeneration include new onset of pain, facial nerve weakness, rapid growth, paresthesias, hoarseness, skin involvement, a fixed lesion, and cervical lymphadenopathy [98, 99]. Unrelenting facial pain is indicative of malignant degeneration because approximately 10% to 15% of malignant parotid neoplasm's present with pain [11, 98].

A space-occupying lesion in the parapharyngeal space needs to be differentiated from a benign or malignant neoplasm; usually malignant lesions invade the masseter and pterygoid musculature producing trismus. The clinical presentation of minor salivary gland tumors is more diverse due their wide spread distribution throughout the upper aerodigestive track (Table 2). Most often, these lesions present as a submucosal swelling, but they may be ulcerated when the overlying mucosa has been traumatized. As such, they may be clinically indistinguishable from a squamous cell carcinoma of the head and neck.

The three essential steps in the diagnosis of a parotid gland tumor are: 1) the differentiation of a parotid mass from a cervical mass, 2) differentiating a malignant lesion from an inflammatory lesion, and 3) discriminating the malignant tumors from the benign ones, because this will have effects on the operative approach [1].

Fine-needle aspiration (FNA) has gained wide acceptance as a first-line diagnostic procedure in the diagnosis of salivary gland lesions, with reported accuracy rates of 74% to 98% [100-108]. FNA is a quick, safe, relatively inexpensive, minimally invasive procedure that can be performed in any outpatient setting with virtually no complications [108]. While some advocate routine preoperative FNA because it can change the clinical approach in up to 35% of cases [14], others feel that it provides little to the management of small, obvious parotid gland tumors. In the literature false negative rates have ranged from 5% to 15% [28, 106, 108]. This inconsistency in diagnostic exactitude can be ascribed to many factors, including the experience of the cytopathologist and the quality of FNA material [108]. Another shortcoming of FNA is a small rate of non-diagnostic (inadequate) specimens, although ultrasonographic or CT guidance can reduce this problem [109]. It has been shown that with salivary gland FNA, there is basically no tumor seeding along the needle tract with only a few case reports reporting on this extremely rare situation [110-112]. The sensitivity and specificity of FNA for the diagnosis of salivary gland neoplasm's varies significantly in the many reported series, ranging from 58% to 90% and 71% to 100% respectively [100, 101, 106, 108, 109, 113-116] (Table 3).

Frozen Section: The use of frozen section is



controversial because diagnosis depends on the experience of the pathologist with regard to salivary gland malignancies. The false-positive rate reported in the literature ranges from 0% to 12.5% (Table 4) [119-123]. Seethala et al. [70] have quoted three indications for the use of frozen section analysis during operative removal of a salivary gland tumor. First, frozen section analysis is useful in determining the extent of tumor spread. Frozen section analysis can provide quick answers to crucial intraoperative questions such as the presence of local extension into the facial nerve or metastatic spread to regional lymph nodes. Second, frozen section analysis is helpful in clarifying the status of the surgical margins. Third, frozen section analysis remains useful as a diagnostic tool when the preoperative FNA findings are non-diagnostic or when the FNA diagnosis is at odds with the clinical and/or intraoperative findings.

The staging system for salivary gland malignancies is based on an extensive retrospective review of the world literature [124]. Several factors affect patient survival, including the histologic diagnosis, cellular differentiation of the tumor (grade), site of origin, size, degree of fixation or local extension, facial nerve involvement, and the status of regional lymph nodes as well as distant metastases. The classification involves the four prevailing clinical variables: tumor size, local extension of the tumor, nodal metastasis, and distant metastasis. The T4 category is divided into T4a and T4b. T4a indicates moderately advanced lesions and T4b reflects very advanced lesions with local extension. Histologic grade, patient age, and tumor site are important additional factors that should be recorded for future analysis and potential inclusion in the staging system (Table 5 and 6). There is no commonly accepted staging system for tumors of minor salivary gland origin but often in the literature such tumors are staged according to the AJCC system appropriate for the more common squamous cell carcinomas arising from the same location [14].

Histologic grading is applicable only to some types of salivary gland cancers: mucoepidermoid carcinoma, adenocarcinoma not otherwise specified, or when either of these subtypes is found in the carcinomatous element of the carcinoma in pleomorphic adenoma. In most instances, the histologic type defines the grade (i.e., salivary duct carcinoma is high grade; basal cell adenocarcinoma is low grade) [124].

Presently there are no imaging modalities that can help differentiate benign from malignant salivary gland tumors. Ultrasonography (US) cannot distinguish between them but can help localize them and its most important role is to guide the FNA aspirate or biopsy [125]. Corr et al. [126] compared US and contrast-enhanced computed tomography (CT) modalities in 40 patients and found the sensitivity of US and CT in detecting parotid lesions to be 100% and 97.5%, respectively. CT scans provide a superb way to appraise not only the parotid tumor but also the regional lymphatic's (enlarged nodes that might not be clinically palpable), and cortical bony involvement [14]. CT has high

sensitivity (90%), but it has relatively low specificity (around 60%), with respect to its ability to distinguish between benign and malignant tumors of the salivary gland [128]. Magnetic resonance imaging (MRI) provides better soft tissue detail than CT and can help evaluate the relationship of the tumors with vascular structures. MRI findings of salivary gland tumors have been depicted in a number of reports [129-131]. It has been stated that the findings of low signal intensity and irregular tumor margins on T2-weighted MRI images indicate malignancy [129, 130]. Nevertheless, the reported sensitivities and specificities of these findings have been low and significantly overlapping [131, 132]. MRI is better to CT in terms of sensitivity (nearly 100%) and specificity (80%), in distinguishing benign from malignant tumors [133]. 18F-FDG PET/CT (PET/CT) has been reported to be more accurate than CT or MRI for the detection of malignant tumors, whereas the glucose uptake levels show a good correlation with the histologic grading for head and neck cancer [134]. PET/CT shows low accuracy for discriminating between benign and malignant tumors, as benign tumors such as pleomorphic adenoma and Warthin's tumors also have high glucose uptake values [135, 136]. PET/CT provides more precise diagnostic information for the assessment of high-grade salivary gland malignancies than does CT and it has a major impact on making treatment decisions for patients with a high-grade salivary malignancy.

## 6. Management of Salivary Gland Malignancies

### 6.1. Operative Management

An operation with the complete removal of the tumor, including a cuff of histologically normal tissue for adequate margins, is the mainstay of treatment for both major and minor salivary gland malignancies. The minimum treatment for low-grade malignancies of the superficial portion of the parotid glands that are not fixed or involve the facial nerve (FN) is a superficial parotidectomy with dissection and preservation of the FN. In carefully selected patient with small lesions arising in the tail of the parotid gland, a more limited local resection without formal FN dissection may be feasible if negative margins are obtained. For all other lesions, a total parotidectomy is often indicated. The facial nerve or its branches should be resected if involved by tumor only; repair can be done concurrently [13, 14, 137]. Where possible, nerve grafting is the preferred method of FN reconstruction. Although elderly patients with parotid malignancy have traditionally been considered poor candidates for nerve grafting, good results have been demonstrated within nine months of FN repair [138]. These patients usually will show improvement in muscle tone. Gold weight eyelid implants and fascial slings can be used when nerve grafting is not possible, or is unsuccessful. Piecemeal excision of a malignant parotid neoplasm in

order to spare the FN or its branches violates basic oncologic principles and should be condemned. Twenty-nine to forty percent of patients with malignant parotid gland tumors will require FN sacrifice in order to remove the disease. One of the chief factors in intraoperative decision-making is the relationship of the tumor to the nerve, whether the mass lies above or below the plane of the nerve. The FN branches should not be sacrificed unless the tumor is adherent to or surrounds the nerve. It is crucial to avoid enucleation because it greatly increases the likelihood of recurrence (up to 80%) and nerve damage. When a tumor is found to be deep to the FN (deep lobe or endofacial portion of the gland) several surgical options are available. For lesions that are small and do not have significant parapharyngeal extension, the FN is initially exposed by a superficial parotidectomy and then the branches can be displaced to allow for tumor removal. When parapharyngeal extension is present, the submandibular gland can be removed to facilitate transcervical access or a paramedianmandibulotomy can be performed when confronted with large lesions.

For small lesions a formal level Ib dissection is preferred over a simple gland excision in malignant salivary gland tumors of the submandibular and sublingual glands. If the tumor extends to or through the gland capsule involving adjacent structures the resection may have to involve the bed of the gland (digastric, mylohyoid, and hyoglossal muscles), adjacent nerves (lingual, hypoglossal, and marginal mandibular branch of the FN), the mandible or the floor of the mouth, and/or sublingual glands. Patients with high grade tumors may require a composite resection. As with the FN in parotidectomy, the lingual, hypoglossal, and marginal mandibular nerves are preserved unless there is evidence either preoperatively or intraoperatively of their direct involvement by the tumor [13]. The surgical approach for tumors arising in minor salivary glands will depend on the site of origin, but is generally similar to that utilized for squamous cell carcinoma arising at the same site. Lesions arising in the larynx may be amenable to either conservation laryngeal surgery or total laryngectomy. Lesions arising from the base of the tongue can be approached through a paramedianmandibulotomy. Hard palate tumors may require a transoral partial maxillectomy.

The FN is one of the most critical structures encountered during surgical extirpation of the parotid gland especially since these closely approximate the nerve [139]. Table 7 shows the incidence rates reported in the literature for FN paralysis (transient or permanent).

There are two different ways to identify the FN intraoperatively, the antegrade or retrograde methods. The antegrade dissection uses several operative landmarks that have been described to help identify the FN during parotid gland operations: 1) posterior belly of digastric muscle at its attachment to the digastric groove (nerve just superior and on the same plane as the muscle attachment) [144], 2) the mastoid process and external auditory meatus where the V-shaped tympanomastoid suture line is located (nerve

approximately 6 to 8 mm medial to the suture line) [145-147], the tragal pointer which forms part of the tragal cartilage (nerve approximately 1 cm medial and anteroinferior to tip of the pointer) [145, 146, 148] and the styloid process [145, 146]. The retrograde dissection may be employed to trace one of the terminal branches proximally if the tumor obscures the main FN trunk [14]. The landmarks employed for retrograde dissection are: 1) buccal branch (runs with the parotid duct either superiorly or inferiorly); 2) temporal branch (crosses the zygomatic arch parallel with the superficial temporal artery and vein); 3) marginal mandibular branch (runs along the inferior border of the parotid superficial to the RMV).

The rate of cervical lymph node metastasis in patients with salivary gland malignancies is quit low with approximately 16% of parotid carcinomas and 8% of submandibular / sublingual malignancies presenting with lymphadenopathy. Tumor histology relates directly with the incidence of positive lymph nodes and also significantly impacts overall survival (OS). Positive cervical lymphadenopathy decreases OS in patients with mayor salivary gland malignancies by more than 50%. The management of the neck in a patient with positive nodes is a modified radical neck dissection with resection of the primary lesion. The management of the N0 neck is based on the tumors characteristics [96, 97]. High-grade tumors, advanced T stage, presence of FN paralysis preoperatively, and histologic demonstration of extra-glandular spread or peri-lymphatic invasion merit an elective neck dissection [96]. An elective neck dissection should include level IIB when dealing with parotid malignancies because of the high rate of involvement [149]. In addition, a very high rate of metastasis has been noted with nasopharyngeal minor salivary gland malignancies, meriting planned neck dissection at the time of surgical intervention [150].

## 6.2. Radiation Therapy

Salivary gland malignancies are very radiosensitive tumors; postoperative irradiation is well established as part of the adjuvant treatment in patients at high risk of loco regional recurrence [151-153]. Numerous studies have shown that loco regional control rates are enhanced by the addition of postoperative radiotherapy (Table 8). Armstrong et al. [152], in a matched pair analysis of 46 patients with major salivary gland malignancies, showed an improvement in loco regional control and OS with adjuvant radiotherapy in patients with advanced disease and nodal involvement. Garden et al. [154], described loco regional control rates in 166 malignant parotid gland tumors managed with adjuvant radiotherapy. Twenty-nine percent of patients had disease recurrence, of which 9% were local and 6% were regional (neck) failures. Patients with positive margins and named nerve involvement had poor local control, despite adjuvant radiotherapy. Guillaumondeguet al. [156] reported a local control rate of 66% for surgery alone and 86% for surgery and postoperative radiotherapy.

Postoperative adjuvant radiotherapy is recommended for

high-grade tumors, advanced stage (T3/T4) tumors, close ( $\leq 5$  mm) or positive surgical margins, certain histologic types such as ACC, perineural or lymphatic invasion, extension of tumor beyond the gland, regional nodal metastasis, and recurrent disease because of the associated high loco regional failure rates between 30% to 60% after surgery alone [152, 157-159]. The radiation treatment portals include the preoperative extent of the gland with 2 cm margin. Elective ipsilateral neck irradiation is indicated for high-grade and T3/T4 tumors [97]. Postoperative radiation therapy to the neck is indicated for solitary metastatic nodes larger than 2 cm, multiple metastatic nodes, and extra capsular extension [151]. Patients with clinically positive lymphadenopathy at presentation were found to have three or more positive lymph nodes in 60% of the cases, in a study with levels IV and V being involved in approximately 50% of the patients [157]. Recurrences in the contralateral untreated neck are infrequent [97, 151]. However, for minor salivary gland tumors arising in the midline both side of the neck are at risk.

Unlike the more common head and neck squamous cell carcinomas (HNSCC), the time factor seems to play a less important role in combined modality treatments of salivary gland tumors. Salivary gland malignancies have a very slow growth rate hence a delay in the start of postoperative radiation therapy should not be that deleterious. In a retrospective series Terhaard et al. [157] should no adverse effect of delaying the radiation treatment until after 12 weeks after the operation.

Various beam compositions, electrons, or mixed electron photon beams are used to reduce the radiation toxicity to surrounding normal tissue and the contralateral salivary glands. For parotid gland tumors, the superior margin is generally above the zygomatic arch, inferior margin is at the inferior neck field, the posterior margin is at the mastoid process, and the anterior margin is beyond the second upper molar to include Stensen's duct [97]. When a named nerve is involved, the neural pathway up to the skull base is included in the treatment volume. The skull base does not need to be covered for focal microscopic perineural invasion [160]. In a retrospective series of 50 patients with submandibular ACC, Storey et al. [161] described covering the neural pathways to their respective foramina in the base of the skull when a named nerve was involved in 94% of their patients. The doses to the skull base ranged from 45 to 60 Gy, and no recurrences at the skull base were noted. They reported a loco regional control rate for these high-risk tumors treated with an operation and postoperative radiotherapy of 88% at 10 years.

The radiation treatment is usually given in the form of megavoltage photons and electrons [154]. The radiation dose to the surgical site and involved dissected neck is 60 Gy, dissected uninvolved nodal sites are treated to 56 Gy, and undissected elective sites are treated to 50 Gy in 2 Gy daily fractions. Postoperative radiation therapy should begin at approximately 3 to 4 weeks after surgery. Garden

et al. [154] reported a trend for patients with positive margins or named nerve involvement to have improved local control if they received doses greater than 60 Gy.

The applicability of neutron beam irradiation as a standard for all salivary gland malignancies is questionable because of its increase toxicity, lack of improved OS compared to photons, and limited number of facilities providing neutron beam therapy. Compared to photons, neutrons are characterized by a reduced oxygen enhancement ratio, minimal sub-lethal and lethal damage repair of the cells between fractions of radiation, and less variation of sensitivity through the cell cycle [97]. The Radiation Therapy Oncology Group and MRC72A [162] is a phase III randomized clinical trial comparing neutrons and photons for the treatment of inoperable primary or recurrent salivary gland malignancies. The 10-year follow-up report showed that on actuarial basis, there was a statistically significant improvement in local control (56% vs. 17%,  $P = 0.009$ ) favoring neutrons. The overall survival rate was not statistically different (25% vs. 15%). Severe treatment-related toxicities were higher in the neutron arm.

### 6.3. Chemotherapy Therapy

Combining radiation therapy with surgery has resulted in improved local and regional control of the disease, but has not always translated to improve OS, because of metastatic disease, which suggests the need for effective systemic therapy. At this time systemic chemotherapy has a limited palliative role in the treatment of recurrent, advanced unresectable and metastatic malignant salivary gland tumors [164]. Due to the rarity of these tumors, good randomized control trials are not available to help with the management. Malignant salivary gland tumors are considered resistant to chemotherapy, but up to 50% of chemotherapy-naïve patients will experience a substantial response (tumor shrinkage) after combination chemotherapy, and rarely patients may experience a complete response. The majority of the responders and some of the patients who fail to show reduction in tumor size will have at least partial relief of tumor-related symptoms, such as pain (these responses are typically short-lived). There is little evidence that chemotherapy increases survival, though responders tend to live longer than non-responders [97]. Chemotherapy should be instituted for the management of symptomatic patients and asymptomatic patients with metastatic disease (ACC metastatic to the lung without symptoms) should not be offered chemotherapy. There is no evidence to validate the use of chemotherapy in the postoperative adjuvant setting.

The most active single agents include cisplatin [165], epirubicin [166], doxorubicin and 5-fluorouracil. The selection of chemotherapy agents is also challenging. A randomized control trial [167], comparing single-agent vinorelbine to the combination of vinorelbine plus cisplatin in patients with various histologic subtypes of malignant salivary gland tumors showed that the combination regimen was more active, with a 44% overall response rate versus



20% for the single agent. Trastuzumab has been studied in the management of salivary gland malignancies with limited responses due to the fact that most of the tumors did not overexpress HER-2/neu[168]. Other agents such as paclitaxel and carboplatin, an active combination for HNSCC, have been used with marginal success [169].

## 7. Conclusions

Treatment of malignant salivary gland tumors requires a thorough understanding of the anatomy and pathologic processes affecting these glands. FNA should be considered as part of the diagnostic evaluation but due to its varying sensitivities and specificities imaging modalities such as

ultrasound, CT scans, and MRI should also be used as diagnostic adjuncts. Surgical extirpation is the primary modality for management of these tumors, nontraditional surgical approaches and instrumentation, as well as facial nerve monitoring, can be selectively utilized to try and decrease the morbidity associated with these surgical procedures. Certain malignant salivary gland tumors will warrant cervical lymphadenectomy. Adjuvant treatment is primarily achieved with radiation therapy. Chemotherapy continues to have a palliative role in the management of salivary gland tumors; however, research in this field is trying to identify a therapeutic role for chemotherapy in order to improve OS.

**Table 1: WHO Histologic Classification**

Low Grade Salivary Gland Tumors	High Grade Salivary Gland Tumors
Acinic cell carcinomas	High-grade mucoepidermoid carcinomas
Low-grade mucoepidermoid carcinoma	Adenoid cystic carcinomas
Oncocytic carcinoma	Most adenocarcinomas (salivary duct carcinoma)
Myoepithelial carcinoma	Malignant mixed tumors (carcinoma ex-pleomorphic adenoma)
Papillary cystadenocarcinoma	Undifferentiated carcinoma
Low-grade adenocarcinoma (polymorphous, basal cell, and terminal duct)	

**Table 2: Clinical Presentation of Minor Salivary Gland Tumors**

[1] Location	[2] Clinical Presentation
[3] Nasal septum and sinuses	[4] Hemorrhage and upper airway compromise
[5] Base of the tongue	[6] Dysphagia and globus sensation
[7] Laryngeal lesions	[8] Hoarseness

**Table 3: Sensitivity and Specificity of Fine-Needle Aspiration for the Diagnosis Salivary Gland Neoplasms**

Study	Year	Patients (n)	Sensitivity (%)	Specificity (%)
Carjulis et al. [170]	1997	151	91%	96%
Al-Khajaji et al. [171]	1998	154	82%	86%
Stewart et al. [106]	2000	341	92%	100%
Cohen et al. [102]	2004	258	82%	85%
Riley et al. [114]	2005	100	85%	97%
Seethala et al. [108]	2005	220	86%	92%
Carillo et al. [115]	2009	135	92%	95%
Brennan et al. [113]	2010	103	70%	94%
Cho et al. [109]	2011	244	76%	100%
Kechagias et al. [101]	2011	107	90%	98%

**Table 4: False Positive Rates of Frozen Sections During Salivary Gland Operations**

Author	Year of Study	Frozen Sections (n)	False Positive Rate
Tan [172]	2006	91	0%
Wong [119]	2002	32	12.5%
Iwai [120]	1999	147	0.7%
Carvalho[121]	1999	146	2.0%
Zheng[122]	1997	65	6.9%
Tew[173]	1997	144	4.0%
Megerian[105]	1994	247	8.8%

**Table 5: AJCC Staging System for Salivary Gland Malignancies [124]**

Primary Tumor (T)	
TX	Primary tumor cannot be assessed
T0	No evidence of primary tumor
T1	Tumor 2 cm or less in greatest dimensions without extra parenchymal extension *
T2	Tumor more than 2 cm but no more than 4 cm in greatest dimensions without extra parenchymal extension *
T3	Tumor more than 4 cm and/or tumor having extra parenchymal extension *
T4a	Moderately advanced disease: Tumor invades skin, mandible, ear canal, and/or facial nerve
T4b	Very advanced disease: Tumor invades skull base and/or pterygoid plates and/or encases carotid artery
Regional lymph nodes (N)	
NX	Regional lymph nodes cannot be assessed
N0	No regional lymph node metastasis
N1	Metastasis in a single ipsilateral lymph node, 3 cm or less in greatest dimensions
N2a	Metastasis in a single ipsilateral lymph node, more than 3 cm but not more than 6 cm in greatest dimensions
N2b	Metastasis in multiple ipsilateral lymph nodes, none more than 6 cm in greatest dimensions
N2c	Metastasis in bilateral lymph nodes, none more than 6 cm in greatest dimensions
N3	Metastasis in a lymph node, more than 6 cm in greatest dimensions
Distant Metastasis	
M0	No distant metastasis
M1	Distant metastasis

\* Extra parenchymal extension is clinical or macroscopic evidence of invasion of soft tissues. Microscopic evidence alone does not constitute extra parenchymal extension for classification purposes.

**Table 6: Anatomic Stage [124]**

Stage I	T1	N0	M0
Stage II	T2	N0	M0
Stage III	T3	N0	M0
	T1	N1	M0
	T2	N1	M0
	T3	N1	M0
	T4a	N0	M0
Stage IV A	T4a	N1	M0
	T1	N2	M0
	T2	N2	M0
	T3	N2	M0
	T4a	N2	M0
Stage IV B	T4b	Any N	M0
	Any T	N3	M0
Stage IV C	Any T	Any N	M0

**Table 7: Incidence Rates in the Literature for Facial Nerve Injury**

Author	Patients (n)	Injured FN	Year	Percentage
Umapathy et al. [174]	154	25 (transient)	2003	14% (transient)
Bova et al. [175]	170	39 (transient)	2004	23.5% (transient)
Gaillard et al. [176]	131	56 (transient)	2005	42% (transient)
Enget al. [177]	159	52 (transient)	2007	55.9% (transient)
		2 (permanent)		2% (permanent)
Roh et al. [178]	123	43 (transient)	2008	34% (transient)
		1 (permanent)		0.8% (permanent)
Lintworth et al. [179]	934	23 (transient)	2010	6% (transient)
		8 (permanent)		2% (permanent)
Mahmood et al. [180]	64	6 (transient)	2010	9% (transient)

**Table 8:** Local Regional Control Surgery versus Surgery Followed by Radiotherapy

Author	Year	Patients (n)	Surgery	Surgery + PO Rx
Fu et al [155]	1977	100	46%	86%
Fitzpatrick [181]	1986	403	27%	73%
Tran et al [182]	1986	133	53%	75%
Armstrong et al [152]	1990	46	66%	73%
Frankenthaler et al [183]	1991	178	80%	88%
Mendenhall et al [158]	2005	224		89%
Pohar et al [185]	2005	163	63%	89%
Chen et al [186]	2006	140	80%	92%

## Abbreviations

AcCC: Acinic cell carcinoma  
 ACC: Adenoid cystic carcinoma  
 CXPA: Carcinoma ex pleomorphic adenoma  
 Facial Nerve: FN  
 HNSCC: Head and neck squamous cell carcinoma  
 Mucoepidermoid carcinoma: MEC  
 Overall survival: OS  
 PLGA: Polymorphous low-grade adenocarcinoma

## Competing Interests

The author(s) declare that they have no competing interests.

The authors have no non-financial competing interests (political, personal, religious, ideological, academic, intellectual, commercial or any other) to declare in relation to this manuscript.

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